

# **DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled GENETIC CONTROL OF ORGAN ABSCISSION; the specification of which was filed on **July 29**, 2003 as Application Serial No. 10/630,518.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

Application No.: 60/264,974

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date

before that of the application on which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

Priority Claimed

No.: PCTUS02/01938

Country: **PCT** 

Date Filed: January 22, 2002

Filing Date: January 29, 2001

Yes

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.
Full name of first inventor: Sarah J. Liljegren
Inventor's signature <u>Mah J. Wygran</u>
Inventor's signature <u>Sarah J. Wy.egran</u> Date <u>January 16, 2004</u>
Residence: 704 Manor Ridge Drive, Carrboro, NC 27510
Citizenship: United States
Post Office Address: Same as above.
Full name of second inventor: Joseph R. Ecker
Inventor's signature
Date
Residence: 2262 Vista La Visa, Carlsbad, CA 92009
Citizenship: United States
Post Office Address: Same as above.
Full name of third inventor: Martin F. Yanofsky
Inventor's signature
Date
Residence: 5039 Manor Ridge Lane, San Diego, CA 92103
Citizenship: United States

Post Office Address: Same as above.

Full name of first inventor: Sarah J. Liljegren
Inventor's signature
Date
Residence: 3268 Caminito Ameca, La Jolla, CA 92037
Citizenship: United States
Post Office Address: Same as above.
Full name of second inventor: Joseph R. Ecker
Inventor's signature
Date
Residence: 2262 Vista La Visa, Carlsbad, CA 92009
Citizenship: United States
Post Office Address: Same as above.
Full name of third inventor: Martin F. Yanofsky
Inventor's signature
Date
Residence: 5039 Manor Ridge Lane, San Diego, CA 92103
Citizenship: United States
Post Office Address: Same as above.
Send Correspondence To:

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

Send Correspondence To: KNOBBE, MARTENS, OLSON & BEAR, LLP Customer No. 20,995



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Liljegren, et al.	)
App. No.	:	10/630,518	)
Filed	:	July 29, 2003	)
For	:	GENETIC CONTROL OF ORGAN ABSCISSION	) ) )
Examiner	:	Unknown	) )

# ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION AND REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

The undersigned is empowered to act on behalf of the assignees below (the "Assignees"). A true copy of the original Assignments of the above-captioned application from the inventors to the Assignees is attached hereto. These Assignments represents the entire chain of title of this invention from the Inventors to the Assignees.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C./1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 2040

App. No.

10/630,518

Filed

July 29, 2003

Main Street, Fourteenth Floor, Irvine, California 92614, Telephone (949)°760-0404, Customer No. 20,995, as its attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. \( \frac{2}{3}.71. \)

Please use Customer No. 20,995 for all communications.

The Salk Institute For Biological Studies

By:

Title: Vice President, Office of Technology

Management

Address: 10010 North Torrey Pines Road

La Jolla, CA 92037

The Regents of the University of

California

December 22, 2003 Dated:

By:

Alan S. Paau Name:

Title:

Asst. Vice Chancellor

Address: The Regents of the University of

California

1111 Frankin Street 5th Floor

Oakland, CA 94607

Applicant No.: 10/630,518 Client Code: SALKINS.035C1 Filing Date: July 29, 2003 Page 1

#### ASSIGNMENT

92	WHEREAS, I, Martin F. Yanofsky, a United States citizen, residing at 5039 Manor Ridge Lane, San Diego, CA  92103 have invented certain new and useful improvements in a GENETIC CONTROL OF ORGAN ABSCISSION for  which I have filed an application for Letters Patent in the United States. Application No. 10/630 518, filed on July 29, 2003	
' <b>'y</b> .	1111 Franklin St. 5th Fir., Caklard CA 94607	1
-122	407 AND WHEREAS The Recents of the University of California San Diego (hereinafter "ASSIGNEE") with its MV.	
	principal place of business at 9500 Gilman Drive, La Jolla, CA 92093, desires to acquire my entire right, title, and interest	/ <sub>x</sub> .
	in and to the said improvements and the said Application:	2

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, a said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said improvements, and testify in any legal proceeding, sign ail lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 22 day of Docomber 2003.

STATE OF California }
COUNTY OF San Diego

Vicki Ellen Pallaaino personally appeared Martin F. Yanofsky On Dec. 22,203, before me, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Notary Signature



PATENT

Applicant No.: 10/630,518 Client Code: SALKINS.035C1 Filing Date: July 29, 2003 Page 1

### ASSIGNMENT

WHEREAS, We, Sarah J. Liljegren, a United States citizen, residing at 704 Manor Ridge Drive, Carrboro, NC 27510, and Joseph R. Ecker, a United States citizen, residing at 2262 Vista La Visa, Carlsbad, CA 92009, have invented certain new and useful improvements in a GENETIC CONTROL OF ORGAN ABSCISSION for which we have filed an application for Letters Patent in the United States, Application No. 10/630,518, filed on July 29, 2003;

AND WHEREAS, The SALK INSTITUTE FOR BIOLOGICAL STUDIES (hereinafter "ASSIGNEE"), with its principal place of business at 10010 North Torrey Pines Road, La Jolla, CA 92037, desires to acquire our entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this day of Jahuau, 2009

Sarah J. Liljegren

On Jan 16, 2004, before me, Sarah J. Liljegren, personally appeared Sarah J. Liljegren personally known to me (or proved to me on the basis of satisfactory evidence) to be the persons whose names is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instruments.

WITNESS my hand and official seal.

FCOINTESTIMONY WHEREOF, I hereunto set my hand and seal this 16th day of Jan., 2004.

Carolyne C. Hasternak Notary Signature

**PATENT** 

Client Code: SALKINS.035C1 Applicant No.: 10/630,518 Filing Date: July 29, 2003

Page 2

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \( \) day of

STATE OF California COUNTY OF San Dies

, personally appeared Joseph R. Ecker . 12,200 f before me, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

JUDITH BEST Commission # 1311744 Notary Public - California Son Diego County My Cornim. Expires Jul 1, 2005

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